# STATE OF NORTH DAKOTA

### BEFORE THE

### COUNTY OF BURLEIGH

#### SECURITIES COMMISSIONER

In the Matter of Preferred Trust	)	ORDER TO VACATE
and Management, LTD. and	)	CEASE AND DESIST
Mark Couper,	)	ORDER DATED
Respondents.	)	APRIL 10, 2001

# THE SECURITIES COMMISSIONER OF THE STATE OF NORTH DAKOTA TO MARK COUPER:

WHEREAS, on April 10, 2001, The North Dakota Securities Commissioner issued a Cease and Desist Order, Notice of Civil Penalty, and Notice of Right to Request a Hearing (Cease and Desist Order) against Mark Couper based on information that Respondent, Mark Couper, acted as an agent of Respondent, Preferred Trust and Management, LTD., and that Mark Couper has offered for sale and/or sold investment(s) in Preferred Trust and Management; and,

WHEREAS, further investigation has revealed that the activity discussed above and described in the Cease and Desist Order occurred outside of North Dakota and involved non-residents of North Dakota. Stated another way, this activity did not take place in or from North Dakota, nor did it relate to North Dakota residents; and,

WHEREAS, the Securities Commissioner has determined that taking enforcement action against non-residents of North Dakota based on securities sales to non-residents of North Dakota, while the transactions occur out of the State of North Dakota, is not in the public interest.

NOW, THEREFORE, IT IS ORDERED that the Cease and Desist Order, Notice of Civil Penalty, and Notice of Right to Request a Hearing, as issued on April 10, 2001 is hereby vacated.

IN TESTIMONY WHEREOF, witness my hand and seal this day of November, 2001.



Karen J. Tyler, Securities Commissioner Office of the Securities Commissioner 600 East Boulevard Ave. State Capitol – Fifth Floor Bismarck, ND 58505-0510 (701) 328-2910

# STATE OF NORTH DAKOTA

## **BEFORE THE**

# **COUNTY OF BURLEIGH**

### SECURITIES COMMISSIONER

In the Matter of Preferred Trust	)	
and Management, LTD. and Mark Couper,	) )	AFFIDAVIT OF SERVICE BY MAIL
Respondents.	)	
		• • • • • • • • • • • • • • • • • • • •

I, Jacqui Ferderer, being first duly sworn, state that I am a citizen of the United States over the age of twenty-one years and not a party to or interested in the aboveentitled proceeding.

On, November 27, 2001, I deposited in the Central Mailing Bureau of the United States Post Office Department in the State Capitol in Bismarck, North Dakota, true and correct copies of the following document:

# ORDER TO VACATE CEASE AND **DESIST ORDER DATED APRIL 10, 2001**

A copy of the above document was securely enclosed in an envelope with postage prepaid, sent via Certified U. S. mail, return receipt requested, and addressed to each of the following:

> Mark Couper PO Box 5763 Rockford, IL 61125

To the best of my knowledge, information, and belief, the address given above is the last known address of the party intended to be served.

Subscribed and sworn to before me on November

Diane B. G. Kambeitz, Notary Public

Burleigh County, North Dakota

My commission expires 4/25/2006

DIANE B.G. KAMBEITZ
Purleigh County, State of North Dakota
May Commission Expires April 25, 2006
STATE OF NORTH DAKOTA
Notary Public Seal